Securities Registration & Deposit Instructions

When reporting securities, please remember that your report is not complete until you provide evidence of the property being transferred into the ownership of the State of Missouri, Unclaimed Property. The required evidence depends on the type of security and how it will be delivered to the State. Two days prior to delivery, you MUST E-mail an intent to deliver to our custodian – see instructions below.

**All Book Entry eligible shares MUST be delivered through DTC, DRS or DWAC (if not DTC participant) as follows:**

<table>
<thead>
<tr>
<th>DTC #</th>
<th>Agent Bank #</th>
<th>Reference: State of Missouri</th>
<th>Acct #</th>
</tr>
</thead>
<tbody>
<tr>
<td>901</td>
<td>26500</td>
<td></td>
<td>132737</td>
</tr>
</tbody>
</table>

Two business days prior to actual delivery, we request an excel list of the securities, including cusip numbers, number of shares, issue names, and the delivering party’s DTC participant number. Please email the list to upch.custody@avenuinsights.com & securities@treasurer.mo.gov

**Register and deliver Physical Certificates ONLY if unable to deliver through DTC, DRS or DWAC:**

Hare & Co/ Acct # 132737
FEIN # 13-6062916
The Depositary Trust Company
570 Washington Blvd – 5th Fl
Jersey City, NJ 07310
Attn. BNY Mellon/ Branch Deposit Department/Account # 132737 – State of Missouri Unclaimed Property

Please note: Physical certificates will be returned if DTC, DWAC, or DRS eligible.

Two days prior to actual delivery, we request an excel list of the securities, including cusip numbers, number of shares, issue names, certificate #s and the delivering party’s information. Please email the list to upch.custody@avenuinsights.com & securities@treasurer.mo.gov.

**Open-End Mutual Funds**

Mutual Fund Accounts held for the State of Missouri Unclaimed Property, must be opened in advance. Avenu will provide the account numbers for all mutual funds to be transferred into the state’s account. Please contact Avenu at upch.custody@avenuinsights.com to
obtain the account numbers 3 business days prior to attempting delivery.

Please send interested party statements for Open-End Mutual Fund accounts to the following:

Avenu Insights & Analytics
C/O Custody Department
100 Hancock Street, 10th Floor
Quincy, MA 02171

A confirmation statement showing the ‘State of Missouri Unclaimed Property’ as the owner of the shares must accompany your Report of Unclaimed Property, in order for your report to be complete.

Dividend Reinvestments Plans (DRP) & Closed-End Funds
Close accounts and forward whole shares via DTC (See DTC instructions above). Fractional shares must be sold at the owner level and money applied to each individual owner. Please include the original check with your Report of Unclaimed Property.

For Foreign Securities
Please contact our securities custodian for instructions:
Avenu Insights & Analytics
Email: upch.custody@avenuinsights.com

For Federal Reserve Securities
Please deliver as follows:
Federal Reserve Bank of New York
ABA#0210-0001-8
Bk of NYC/CUST
Account # 132737 – State of Missouri Unclaimed Property

Book Entry & Brokerage Account Statements

Please mail all statements to the below address and include a copy of the statement with your report.

State of Missouri Unclaimed Property
c/o Avenu Insights & Analytics
Attn. Custody Department
100 Hancock St, 10th floor
North Quincy, MA 02171