

Securities Registration & Deposit Instructions

When reporting securities, please remember that your report is not complete until you provide evidence of the property being transferred into the ownership of the State of Missouri, Unclaimed Property. The required evidence depends on the type of security and how it will be delivered to the State. Two days prior to delivery, you MUST email an intent to deliver to our custodian — see instructions below.

All Book Entry eligible shares MUST be delivered through DTC, DRS or DWAC (if not DTC participant) as follows:

DTC #	901	Reference: State of Missouri
Agent Bank #	26500	Acct # 132737

Two business days prior to actual delivery, you must send an Excel list of the securities, including CUSIPs, number of shares, issue names, and the reporting party's DTC participant number. Please email the list to upch.custody@neumo.com & securities@treasurer.mo.gov

Register and deliver Physical Certificates ONLY if unable to deliver through DTC, DRS or DWAC:

Hare & Co/ Acct # 132737
FEIN # 13-6062916
The Depositary Trust Company
570 Washington Blvd – 5th Fl
Jersey City, NJ 07310
Attn: BNY Mellon/ Branch Deposit Department/Account # 132737 – State of Missouri
Unclaimed Property

Please note: Physical certificates will be returned if DTC, DWAC, or DRS is eligible.

Open-End Mutual Funds

Mutual Fund Accounts held for the State of Missouri Unclaimed Property must be opened in advance. Neumo will provide account numbers for all mutual funds to be transferred into the state's account. Please contact Neumo at upch.custody@neumo.com obtain the account numbers at least 5 business days prior to attempting delivery.

Please send interested party statements for Open-End Mutual Fund accounts to the following:

Neumo
Custody Department
108 Myrtle St 5th Fl Suite 501
Quincy, MA 02171

A confirmation statement showing the 'State of Missouri Unclaimed Property' as the owner of the shares must accompany your Report of Unclaimed Property, for your report to be complete.

Dividend Reinvestments Plans (DRP) & Closed-End Funds

Close accounts and forward whole shares via DTC (See DTC instructions above). Fractional shares must be sold at owner level and money applied to each individual owner. Please include the original check with your Report of Unclaimed Property.

For Foreign Securities

Please contact our securities custodian, Neumo, for instructions:

Email: upch.custody@neumo.com

For Federal Reserve Securities

Please deliver as follows:

Federal Reserve Bank of New York

ABA#0210-0001-8

Bk of NYC/CUST Account # 132737 – State of Missouri Unclaimed Property

Book Entry & Brokerage Account Statements

Please mail all statements to the below address and include a copy of the statement with your report.

Neumo

Attn: Custody Department

108 Myrtle St 5th Fl Suite 501

Quincy, MA 02171